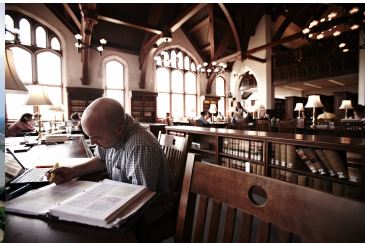


Neutrinos in dense matter: beyond modified Urca

Prof. Mark Alford
Washington University in St. Louis

M. Alford, A. Haber, Z. Zhang, [arXiv:2406.13717](#)

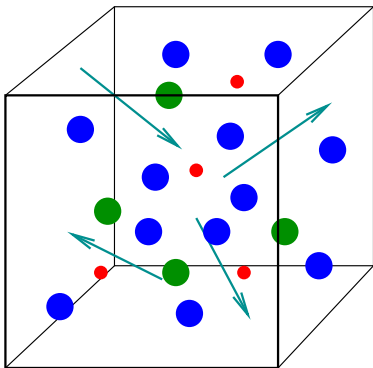


Outline

1. Importance of Urca processes
2. The **direct Urca** + **modified Urca** approximation
3. Problems with **modified Urca**
4. A better way: the **Nucleon Width Approximation**

Nuclear matter fluid

Generic fluid element



neutrons: dominant constituent

protons: small fraction

electrons: maintaining local neutrality

neutrinos: *not always thermally equilibrated!*

Fluid is described by 3-4 parameters:

$$\boxed{n_B} = n_n + n_p \quad \text{baryon density}$$

$$\boxed{T} \quad \text{temperature}$$

$$\boxed{x_p} = n_p / n_B \quad \text{proton fraction}$$

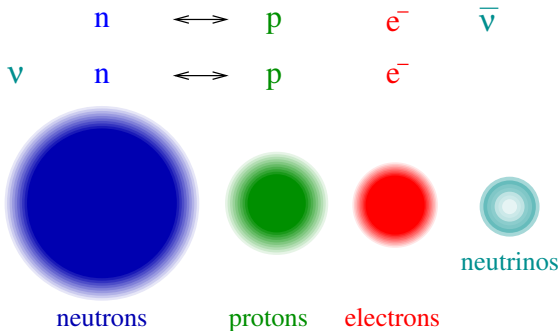
$$\left(\boxed{x_L} = n_L / n_B \quad \text{lepton fraction} \right)$$

[if **neutrinos** are thermally equilibrated]

Nuclear matter: degenerate fermions

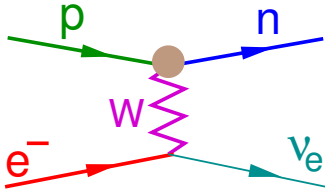
Neutrons, protons, and electrons are always thermally equilibrated into Fermi seas because they feel strong or electromagnetic interactions.

Neutrinos can have a long mfp and may not be thermally equilibrated



At $T \ll E_F$, beta equilibration is dominated by Urca processes involving modes near the Fermi surfaces

The importance of Urca

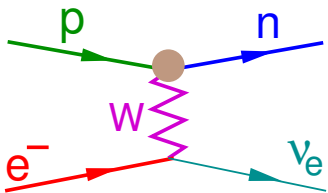


Typical Urca processes
in dense nuclear matter:

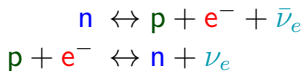
$$n \leftrightarrow p + e^{-} + \bar{\nu}_e$$

$$p + e^{-} \leftrightarrow n + \nu_e$$

The importance of Urca



Typical Urca processes
in dense nuclear matter:



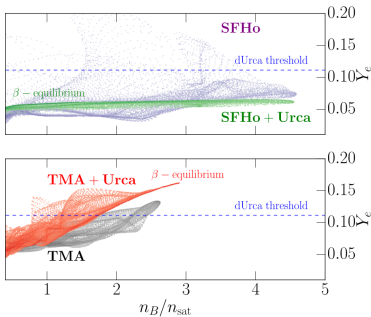
Urca processes are the driver of:

- ▶ Relaxation of the proton fraction \Rightarrow bulk viscosity, damping
- ▶ Neutrino absorption opacity (mean free path)
- ▶ If ν_e mfp is short: Relaxation of neutrino fraction, shear viscosity
- ▶ If ν_e mfp is long: Neutrino emissivity

Relevant in:

- ▶ supernovas (neutrino opacity, deleptonization)
- ▶ individual neutron stars (cooling)
- ▶ neutron star mergers (neutrino opacity, isospin relaxation)

Examples in mergers

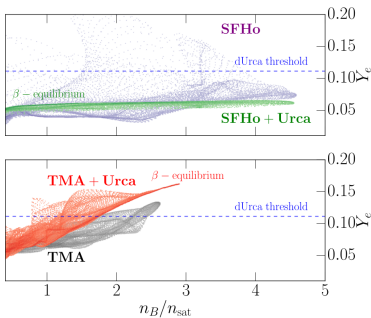


Density distribution of electron fraction Y_e measured 5 ms after merger

Note difference between the distributions with and without Urca processes.

Most et. al., arXiv:2207.00442 (ApJ Lett)

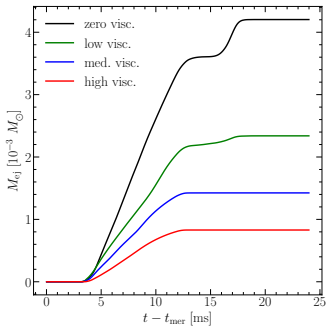
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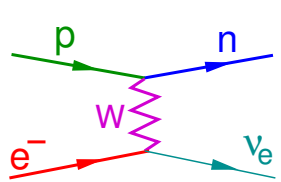
Ejected mass depends on bulk viscosity

Chabanov & Rezzolla arXiv:2311.13027

Direct Urca rate

“Direct Urca” means we only include strong interactions via mean-field effects: nucleon effective mass and energy shift.

It is then easy to calculate the rate as a function of (n_B, T, x_p) .



$$\Gamma_{p e^- \rightarrow n \nu}^{\text{dUrca}} \sim \int d^3 k_n d^3 k_p d^3 k_e d^3 k_\nu \underbrace{f_p f_e (1-f_n) (1-f_\nu)}_{\text{particle distributions}} \underbrace{\delta^4(k_n - k_p - k_e - k_\nu)}_{\text{energy \& mom cons}} \underbrace{\left| \mathcal{M}_{\text{dU}}(\vec{k}_n, \vec{k}_p, \vec{k}_e, \vec{k}_\nu) \right|^2}_{\text{Matrix element}}$$

Reduces to 4D integral, or low- T analytic expression.

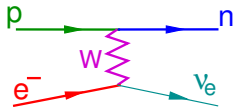
Note that the **neutron**, **proton**, and **electron** occupation distributions are thermally equilibrated Fermi-Dirac functions, but the **neutrinos** may have some non-thermal occupation distribution.

Direct and Modified Urca

In general we expect there will be **strong-interaction** corrections to the simple dUrca diagram. Standard approach includes one correction:

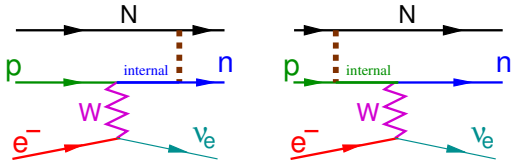
Standard approach: **Direct Urca** rate + *approx* **Modified Urca** rate

Direct Urca



4-dimensional integral

Modified Urca



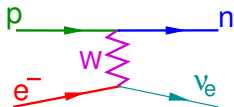
11-dimensional integral

Direct and Modified Urca

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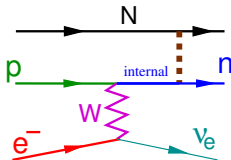
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Direct Urca

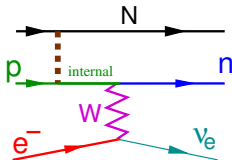


4-dimensional integral

Modified Urca



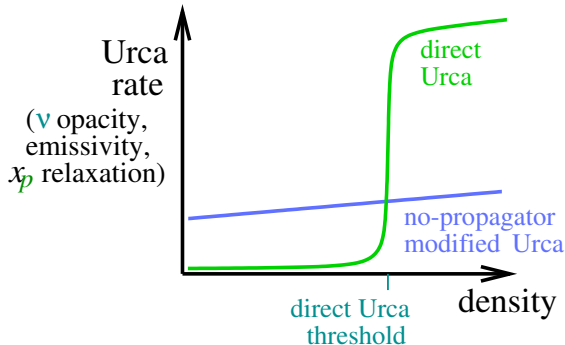
11-dimensional integral



- ☹ **mUrca** needs severe approximations to make it evaluate-able, e.g. Fermi surface approx, and neglect internal propagator!
- ☹ **mUrca** is difficult to improve, e.g. if we include internal propagator then the rate diverges when internal particles go on shell
- ☹ **mUrca** is difficult to generalize, e.g. to non-zero **magnetic field**

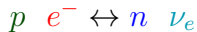
Why do people think they need Modified Urca?

There are situations where **strong interaction** corrections are essential.
E.g. in cool neutrino-transparent matter



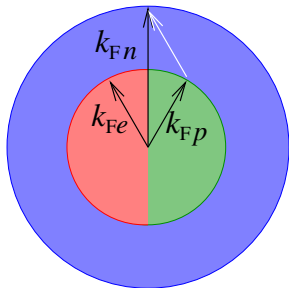
dUrca threshold varies by EoS: for some there is *no* dUrca!

Why is there a dUrca threshold?



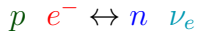
High proton fraction

Direct Urca open



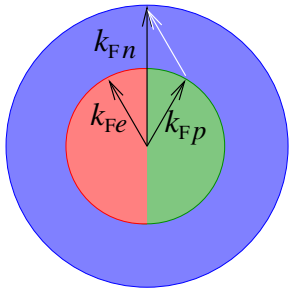
$\vec{k}_n = \vec{k}_p + \vec{k}_e$ is possible
because $k_{F,n} < k_{F,p} + k_{F,e}$

Why is there a dUrca threshold?



High proton fraction

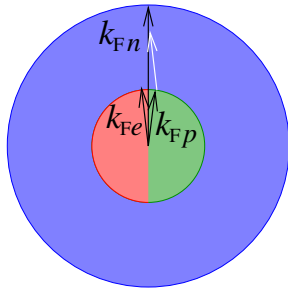
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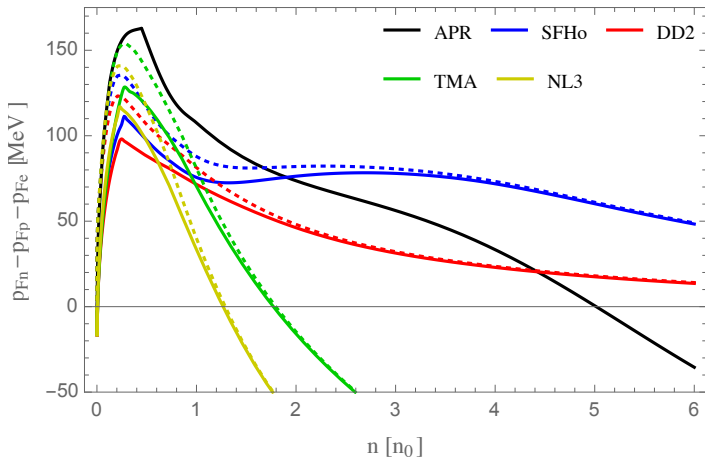
Threshold softened by:

- Thermal blurring of Fermi surfaces
- **Nucleon width** (grows as T^2)

Direct Urca threshold

Some examples of the direct Urca kinematic constraint

Direct Urca is unsuppressed when $k_{F,n} - k_{F,p} - k_{F,e} < 0$

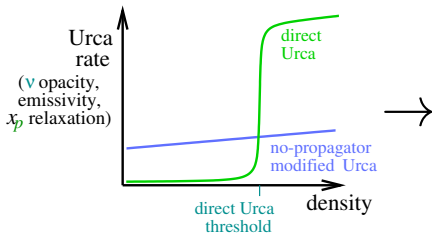


Some EoSes by have no **direct Urca** at any density:

need **strong interaction** corrections: **modified Urca**?

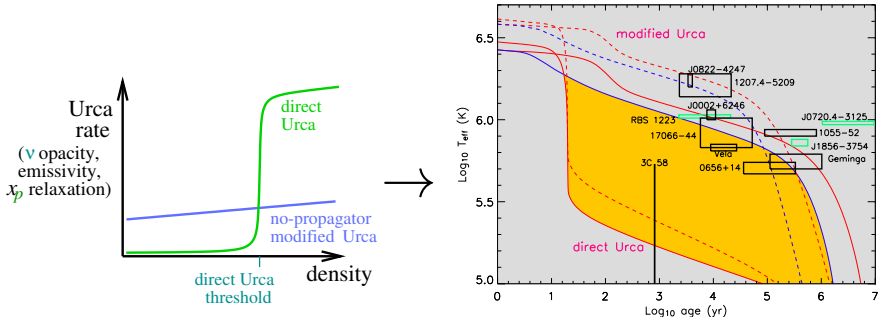
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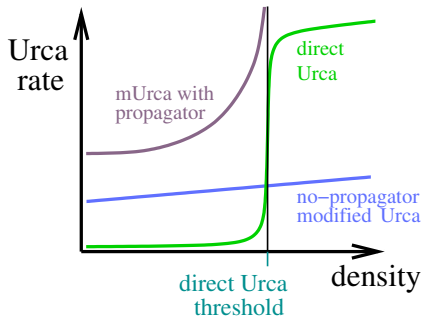


Lattimer and Prakash
arXiv:astro-ph/0405262

But **no-propagator mUrca** is a bad estimate
Can we improve it?

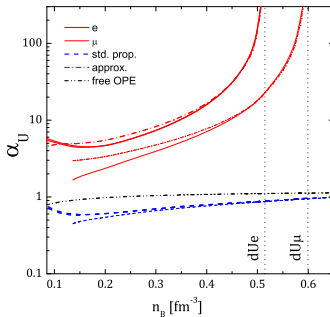
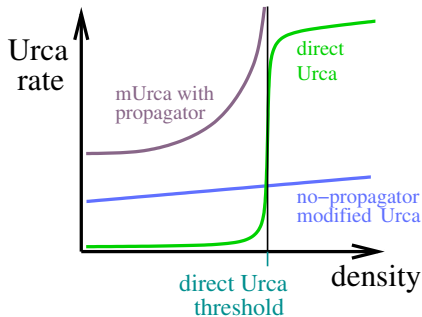
“Improved” modified Urca is unusable!

Try including the propagator for the internal nucleon in modified Urca



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Try including the propagator for the internal nucleon in modified Urca



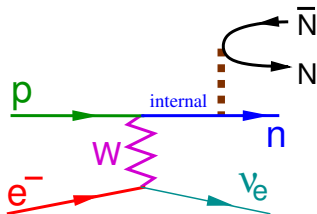
Shternin, Baldo, Haensel,
arXiv:1807.06569

- ☹ No-propagator mUrca is wrong by a factor of 10, even far below dUrca threshold
- ☹ Including the propagator \Rightarrow mUrca diverges at the dUrca threshold!

Is there a better way to handle strong-interaction corrections?

What is modified Urca trying to do?

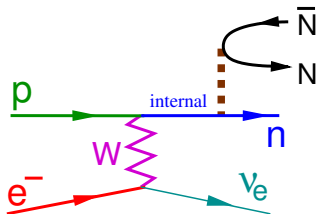
We can rewrite **modified Urca**:



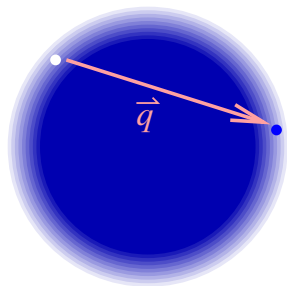
The **strong interaction** lets a nucleon radiate a nucleon particle-hole pair with 3-momentum but little energy.

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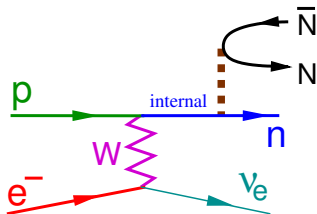
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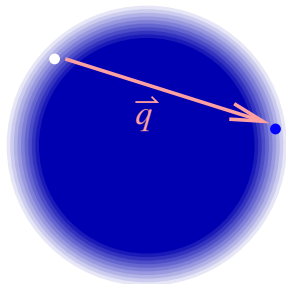
In a dense medium this is easy because there are lots of available states with very low energy cost.

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The **strong interaction** lets a nucleon radiate a nucleon particle-hole pair with 3-momentum but little energy.



In a dense medium this is easy because there are lots of available states with very low energy cost.

Modified Urca is trying to remind us that in a dense medium,
the nucleon is *unstable*.
the nucleon has a non-zero *width*
the nucleon's energy has an *imaginary part*

A nucleon width is better than mUrca

If we allow nucleons to have widths due to their strong interactions with the medium, we can implement the physics behind **modified Urca** without any unphysical divergences.

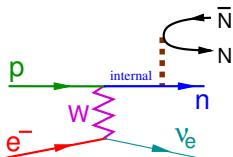
An unstable particle's propagator takes the form

$$\text{---}\text{---}\text{---} = G_n(E_n, \vec{k}_n) = \frac{(4 \times 4 \text{ Dirac matrix})}{E_n^2 - |\vec{k}_n|^2 - (M_n - i\Gamma_n/2)^2}$$

Thanks to the width Γ_n , even when the internal particle is “on shell”,

$$E^2 = |\vec{k}|^2 + M^2$$

the propagator doesn't diverge.



How do we implement particle widths in the Urca rate?

Rates for unstable particles: Cutkosky rules

$$\text{Rate} \propto \text{Im} \left(\frac{\text{initial state}}{\text{self-energy}} \right)$$

Optical theorem
for quantum fields

E.g.:

$$\sum_{\text{final states}} \left| \text{---} \begin{array}{c} \nearrow \\ \searrow \end{array} \right|^2 = 2 \text{Im} \left(\text{---} \bigcirc \text{---} \right)$$

$$\left(\begin{array}{l} \text{Where from? Scattering matrix } S_{fi} = I_{fi} + iT_{fi} \\ \underbrace{S^\dagger S = I}_{\text{Unitarity}} \Rightarrow \sum_f T_{if}^\dagger T_{fi} + i(T_{ii} - T_{ii}^\dagger) + I = I \\ \Rightarrow \sum_f T_{if}^\dagger T_{fi} = 2 \text{Im}(T_{ii}) \end{array} \right)$$

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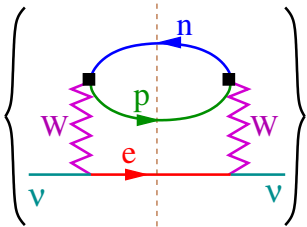
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Apply to **dUrca**:

$$\frac{dn_v}{dt} = \text{Im}$$



See, e.g., D. Voskresensky [astro-ph/0101514],
A. Sedrakian, A. Dieperink [astro-ph/0002228]

Nucleon Width Approximation

Direct Urca only

$$\frac{dn_v}{dt} = \text{Im} \left\{ \text{Diagram} \right\}$$

where nucleon has real mass

$$\text{blue arrow} = \frac{1}{\not{k} - M_n}$$

(and same for **proton**)

and we neglect vertex corrections

Nucleon Width Approximation

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(and same for **proton**)

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Nucleon Width Approx

$$\frac{dn_v}{dt} = \text{Im} \left\{ \text{Diagram} \right\}$$

where nucleon has complex mass

$$\text{Blue arrow} = \frac{1}{\not{k} - M_n - i\Gamma_n/2}$$

(and same for **proton**)

and we neglect vertex corrections,
which include cross terms in mUrca

Implementing a nucleon width

Nucleon with mass M and width Γ :

$$\text{blue arrow} = G(k, M+i\Gamma/2) = \frac{1}{\not{k} - M - i\Gamma/2}$$

How do we evaluate a Feynman diagram with such propagators?

Implementing a nucleon width

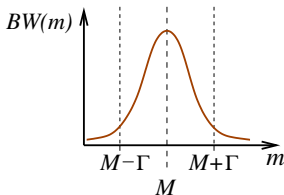
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As a spectral representation: Smear the (real) mass using a Breit-Wigner function:

$$G(k, M+i\Gamma/2) = \int_{-\infty}^{\infty} dm \ G(k, m) \underbrace{\text{BW}(m, M, \Gamma)}$$



Implementing a nucleon width

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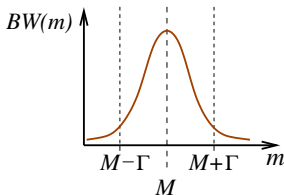
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$$\text{BW}(m, M, \Gamma) = \frac{1}{\pi} \frac{\Gamma/2}{(m - M)^2 + \Gamma^2/4}$$



Final assembly

In the **Nucleon Width Approx** the Urca rate depends linearly on the **neutron propagator** and on the **proton propagator**

$$\frac{dn_v}{dt} = \text{Im} \left\{ \text{Diagram} \right\}$$

The diagram shows a neutron (n) and a proton (p) loop connected by two W bosons (W) to an electron (e) and a neutrino (v) line. The neutron and proton lines are horizontal, with the neutron line above the proton line. The W bosons are represented by wavy lines. The electron and neutrino lines are horizontal, with the electron line above the neutrino line. The diagram is enclosed in large curly braces.

Legend:

- Blue arrow: $\longrightarrow = \int_{-\infty}^{\infty} BW(m_n) dm_n \longrightarrow$
- Green arrow: $\longrightarrow = \int_{-\infty}^{\infty} BW(m_p) dm_p \longrightarrow$

So the **NWA** Urca rate is just the **dUrca** rate, with the nucleon masses smeared out via **Breit-Wigner** distributions.

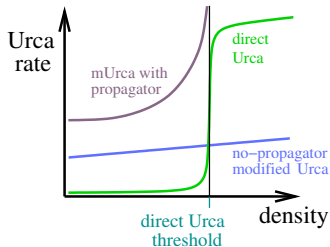
$$\Gamma^{\text{NWA}}(M_n, M_p, \Gamma_n, \Gamma_p) = \int_{-\infty}^{\infty} dm_n dm_p \Gamma^{\text{dUrca}}(m_n, m_p) BW(m_n, M_n, \Gamma_n) BW(m_p, M_p, \Gamma_p)$$

Use a model of the **strong interaction** between nucleons to estimate appropriate values for the nucleon widths $\Gamma_n(n_B, T)$ and $\Gamma_p(n_B, T)$.

NWA versus modified Urca

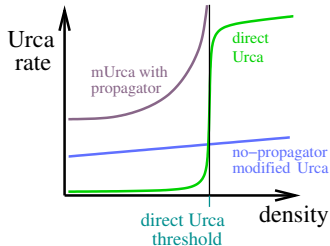
Recall the divergence problem when we
include internal propagator in mUrca

Does **NWA** fix this?

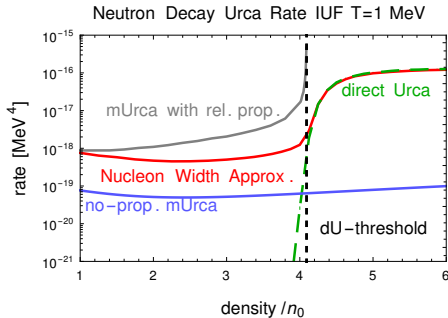


NWA versus modified Urca

Recall the divergence problem when we
include internal propagator in mUrca



Does **NWA** fix this? **Yes!**



Using $\Gamma_{n,p} = T^2/T_0$ with $T_0 = 5$ MeV
(Sedrakian & Dieperink, arXiv:astro-ph/0002228)

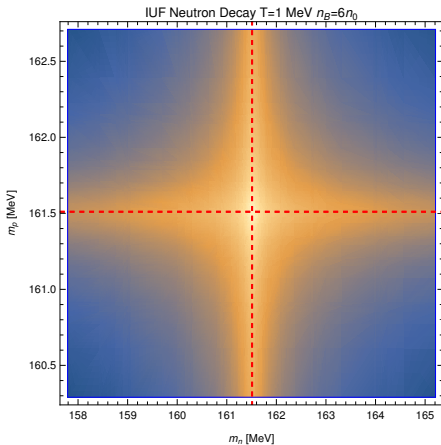
Advantages of the Nucleon Width Approx

$$\Gamma^{\text{NWA}} = \int_{-\infty}^{\infty} dm_n dm_p \Gamma^{\text{dUrca}}(m_n, m_p) \text{BW}_n(m_n) \text{BW}_p(m_p)$$

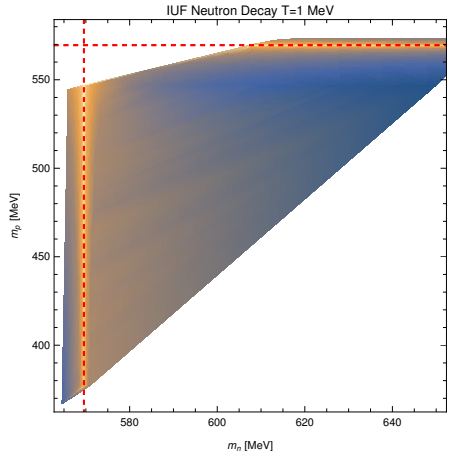
- ▶ Agrees with best previous calculations above and far below dUrca threshold.
- ▶ Distills **strong interaction** effects into width parameters Γ_n and Γ_p
- ▶ Easily generalized, e.g. to high temperatures, nonzero magnetic field.
- ▶ Straightforward to evaluate:
 - $T \lesssim 1$ MeV: 2D integral of analytic **dUrca** expression
 - $T \gtrsim 1$ MeV: 6D integral (2 masses + 4 momenta) of full **dUrca**
- ▶ Can be systematically improved

NWA above and below threshold

$$\Gamma^{\text{NWA}} = \int_{-\infty}^{\infty} dm_n dm_p \Gamma^{\text{dUrca}}(m_n, m_p) \text{BW}_n(m_n) \text{BW}_p(m_p)$$



dUrca is kinematically **allowed** for the physical nucleon masses



dUrca is kinematically **forbidden** for the physical nucleon masses

Conclusions

Urca processes $p e^- \leftrightarrow n \nu_e$ are important for **neutrino** dynamics (mean free path, emissivity) and **isospin** equilibration

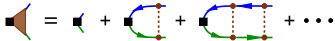
$$\Gamma^{\text{NWA}} = \int_{-\infty}^{\infty} dm_n dm_p \Gamma^{\text{dUrca}}(m_n, m_p) \text{BW}_n(m_n) \text{BW}_p(m_p)$$

Nucleon Width Approx distills **strong interaction** corrections into imaginary masses (widths) for **neutron** and **proton**.

Better than traditional **direct** + **modified** Urca approx:

- ▶ Avoids unphysical divergence of **modified Urca**
- ▶ Easy to explore different **strong interaction** models: just calculate widths Γ_n and Γ_p
- ▶ Easy to generalize, e.g. to high T , nonzero magnetic field.
- ▶ Easy to evaluate: numerical integral of a positive peaked integrand
- ▶ Can be systematically improved (vertex corrections, improved self-energy)

Next steps

- ▶ Do a consistent NWA calculation using chiral effective theory for EoS, dispersion relations, and widths.
- ▶ Revisit existing calculations that use **modified Urca**, e.g. neutron star cooling, neutrino opacities, isospin relaxation, etc
- ▶ Explore generalizations, e.g. **magnetic fields**
- ▶ Include vertex corrections, starting with RPA iterated **strong interaction**

- ▶ More complete nucleon self-energy:
 - allow momentum and/or energy dependence
 - different Dirac structures e.g. γ_0 , $q^i \gamma_i$
- ▶ Superfluid nucleons: use Nambu-Gorkov propagators + widths
- ▶ Non-relativistic formulation: A. Sedrakian arXiv:2406.16183

Extra slides

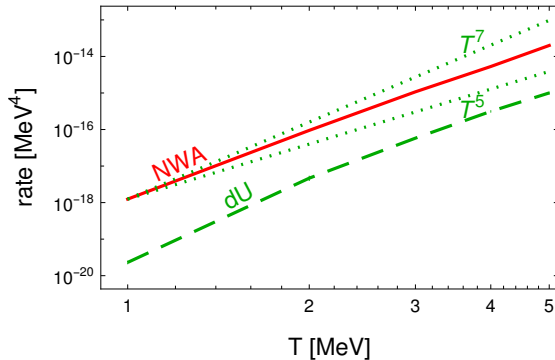
Temperature dependence of NWA rate

dUrca rate $\sim T^5$,

mUrca rate $\sim T^7$;

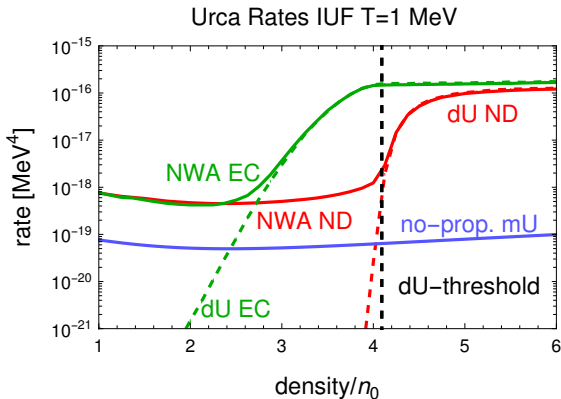
near threshold, NWA is between the two as expected.

Neutron Decay IUF $n_B=4n_0$



IUF EoS has dUrca threshold at
 $n_B = 4n_0$

NWA for electron capture and neutron decay



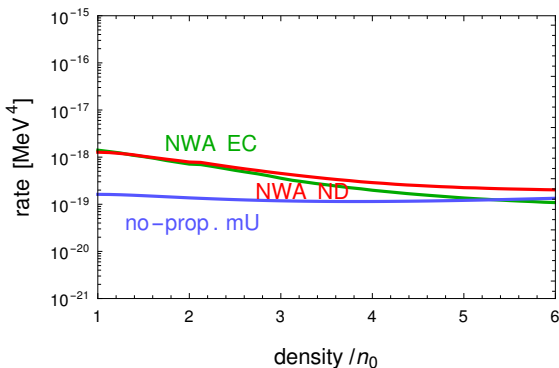
Assumes “cold beta equilibrium” $\mu_n = \mu_p + \mu_e$ so the ND and EC rates should balance.

Below dUrca threshold, EC gets a bigger boost from thermal blurring of the Fermi surfaces than ND. NWA includes that.

Near the threshold there are corrections to the cold beta equil formula, arising from neutrino transparency.

EoS with no dUrca threshold

Urca Rates SFHo $T=1$ MeV



The whole rate comes from collisional broadening (mUrca). But traditional mUrca uses FS approx, cannot capture neutrino transparency effects that split ND from EC.

Generic strong interaction corrections

The neutron-proton loop is generalized to a *charged current hadronic correlator* $\Pi^{\mu\nu}(q) = \langle J_{\text{weak}}^\mu J_{\text{weak}}^{\dagger\nu} \rangle$ which includes all the strong interaction corrections.

$$\frac{dn_\nu}{dt} = \text{Im} \left\{ \begin{array}{c} \text{Diagram: A hadronic correlator } \Pi \text{ (brown oval) with a blue arrow on top and a green arrow on bottom. It is connected to two vertices (black squares). From each vertex, a wavy line labeled } W \text{ (purple) extends downwards to a vertex on a horizontal line. The horizontal line is blue and labeled } \nu \text{ at both ends. A red arrow labeled } e \text{ points from left to right along this line.} \end{array} \right\}$$

Generic strong interaction corrections

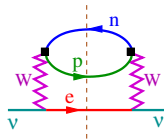
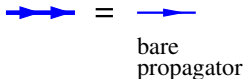
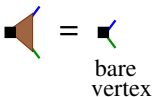
The neutron-proton loop is generalized to a *charged current hadronic correlator* $\Pi^{\mu\nu}(q) = \langle J_{\text{weak}}^\mu J_{\text{weak}}^{\dagger\nu} \rangle$ which includes all the strong interaction corrections.

$$\frac{dn_v}{dt} = \text{Im} \left\{ \text{Diagram} \right\}$$

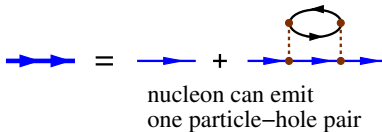
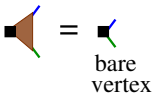
Skeleton expansion for Π in terms of full (1PI) vertex (brown triangle) and full nucleon propagators

Approximations to the correlator

dUrca

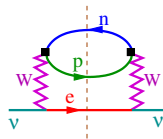
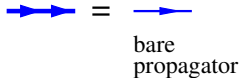
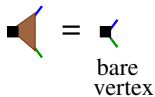


dUrca + mUrca
(squared terms)

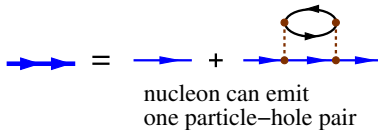
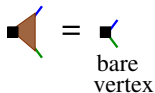


Approximations to the correlator

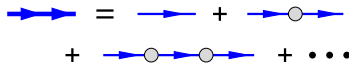
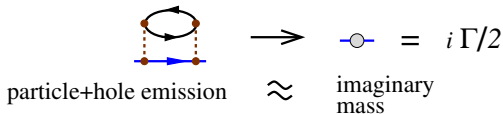
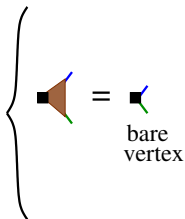
dUrca



dUrca + mUrca
(squared terms)



nucleon
width
approximation



Beyond the nucleon width approx

(1) Vertex

$$\text{Vertex} = \text{bare vertex} + \text{RPA correction 1} + \text{RPA correction 2} + \dots$$

RPA approx for vertex correction

(2) Nucleon
Propagator

$$\text{Nucleon Propagator} = \text{bare propagator} + \text{self-energy 1} + \text{self-energy 2} + \dots$$

Schwinger–Dyson for full propagator, but with improved self-energy:

$$\text{Improved self-energy} = \text{self-energy 1} + \text{self-energy 2} + \dots$$